



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

in the Application of:

Wayne A. Bonin

Serial No.: 08/327,979

Examiner: Unknown

Filing Date: October 24, 1994

Group Art Unit: Unknown

For: APPARATUS FOR MICROINDENTATION HARDNESS TESTING AND SURFACE IMAGING INCORPORATING A MULTI-PLATE CAPACITOR SYSTEM

Docket No.: 55463/101/102

## TRANSMITTAL SHEET

The Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on this 27 day of December, 1994

By Wayne A. Bonin

We are transmitting herewith the attached:

Amendment

No additional fee required

The fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		x11=	\$	x22=	\$
INDEPENDENT CLAIMS	-	=		x38=	\$	x76=	\$
( ) FIRST MULTIPLE DEPENDENT CLAIM			+120=	\$		+240=	\$
TOTAL				\$		\$	

A check in the amount of \$65.00 is enclosed.

Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by verified statement submitted herewith.

Other: COMMUNICATION, RESPONSE COPY OF NOTICE TO FILE MISSING PARTS OF APPLICATION - FILING DATE GRANTED, DECLARATION, SMALL BUSINESS ENTITY STATEMENT.

Please charge any deficiencies or credit any over payment in the enclosed fees to Deposit Account 14-0620.

By: Wayne A. Sivertson

Reg. No. 25,645

Wayne A. Sivertson  
NAWROCKI, ROONEY & SIVERTSON, P.A.  
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Facsimile: (612) 331-2239



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
08/327,979	10/24/94	BONIN	W 554631011102

DEC 1 1994  
PAT. & TRADEMARK  
ROOM 1304

0381/1206

DAVID M. CROMPTON  
NAWRICKI, RODNEY & SIVERTSON  
BROADWAY PLACE EAST, STE. 401  
3433 BROADWAY STREET NE.  
MINNEAPOLIS, MN 55413

0000

DATE MAILED:

12/06/94

**NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 130 for large entities or \$ 65 for small entities who have filed a verified statement claiming such status. The surcharge is set forth in 37 CFR 1.16(e).

If all required items on this form are filed within the period set below, the total amount owed by applicant as a  large entity,  small entity (verified statement filed), is \$ 753.

Applicant is given **ONE MONTH FROM THE DATE OF THIS LETTER, OR TWO MONTHS FROM THE FILING DATE** of this application, **WHICHEVER IS LATER**, within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

1.  The statutory basic filing fee is:  missing  insufficient. Applicant as a  large entity  small entity, must submit \$ 107 to complete the basic filing fee.

2.  Additional claim fees of \$ 516 as a  large entity,  small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

3.  The oath or declaration:

is missing.  
 does not cover items omitted at time of execution.

An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required.

4.  The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5.  The signature(s) to the oath or declaration is/are:  missing;  by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

6.  The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

7.  The application was filed in a language other than English. Applicant must file a verified English translation of the application and a fee of \$ \_\_\_\_\_ under 37 CFR 1.17(k), unless this fee has already been paid.

8.  A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment. (37 CFR 1.21(m)).

9.  Your filing receipt was mailed in error because your check was returned without payment.

10.  The application does not comply with the Sequence Rules. See attached Notice to Comply with Sequence Rules 37 CFR 1.821-1.825.

11.  Other.

*D. Koenig*  
Direct the response and any questions about this notice to, Attention: Application Processing Division, Special Processing and Correspondence Branch (703) 308-1202.

***A copy of this notice MUST be returned with the response.***



P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Wayne A. Bonin

Serial No.: 08/327,979

Filed: October 24, 1994

For: APPARATUS FOR MICROINDENTATION HARDNESS TESTING AND SURFACE IMAGING INCORPORATING A MULTI-PLATE CAPACITOR SYSTEM

Docket No.: 55463/101/102

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The Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

COMMUNICATION

Applicant filed the application covered by the caption indicated above on October 24, 1994. The signature of the inventor was, however, missing on the DECLARATION. In response to the filing, the United States Patent and Trademark Office issued a NOTICE TO FILE MISSING PARTS OF APPLICATION - FILING DATE GRANTED document (FORM PTO-1533). That paper documented the fact that the filing date was granted for the application. Further, however, it documented a requirement that a properly signed Declaration in compliance with 37 CFR §1.63, identifying the application by serial number and filing date, be submitted. That paper also required that a surcharge in the amount of \$130, for a large entity, or \$65, for a small entity, be submitted. It documented a requirement that large entity filing fees in the amount of \$753 be submitted. Also,

it documented that additional filing fees in the amount of \$107, and additional claim fees in the amount of \$516 for a large entity, including any required multiple dependent claim fees, be submitted.

In view of the filing of small entity affidavit contemporaneous with this document, and the other documents filed with this package, the surcharge is in the amount of \$65.00, and no further filing fees are required.

The period for response was set to expire one month from the date of that paper, or two months from the filing date of the application, whichever is later. The unextended deadline for responding is, therefore, January 6, 1995.

Please find enclosed the "RESPONSE" copy of the NOTICE TO FILE MISSING PARTS OF APPLICATION - FILING DATE GRANTED document, a Declaration signed by the named inventor, one (1) VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS, and a check in the amount of \$65 (the amount of the surcharge for a small entity).

In view of the action taken herein, Applicant would submit that the requirements imposed by the NOTICE TO FILE MISSING PARTS



OF APPLICATION - FILING DATE GRANTED paper have been complied with.

It is, therefore, requested that the application be passed for issuance of the formal FILING RECEIPT document.

Respectfully submitted,

Wayne A. Bonin

By his attorney,

A handwritten signature in black ink, appearing to read "Wayne Sivertson".

Dated: Dec 27, 1994

AMOUNT OF MAILING

I certify that this correspondence is being sent by mail to the U.S. Patent and Trademark Office on the date above and that postage will be paid as first class mail by the addressee or forwarded to them.

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By: Wayne Sivertson